

### **REMARKS / ARGUMENTS**

Claims 1-18 are pending in this application. Claims 1-18 stand rejected.

Upon entry of this amendment, independent claims 1-18 have been canceled, with new claims 19-23 added.

In view of the following discussion, the applicant submits that all of the claims, as they now stand, are allowable.

If, however, the Examiner believes that there are any unresolved issues requiring adverse action in any of the claims now pending in the application, it is requested that the Examiner telephone Jeffery J. Brosemer, Ph.D., ESQ. at 732-275-9167 so that arrangements may be made for resolving such issues as expeditiously as possible.

### **Objections:**

#### **Objection to the Drawing**

As noted prior, the Drawing was objected to for a number of errors. In response, the applicants have submitted with this Amendment, a proposed set of drawing changes wherein the proposed changes are made in red ink.

#### **Objection to the Claims**

Claims 1, 7, and 13 were objected to for a number of informalities. With this amendment, these claims have been canceled and, as such, the Applicant respectfully requests the Examiner to withdraw these objections.

**Rejections:**

**Claim Rejections – 35 U.S.C. § 101**

Claim 1 was rejected by the Examiner as being directed to non-statutory subject matter. In particular, the Examiner states that claim 1 offered abstract ideas not applied to the technological arts. In addition, the Examiner stated that claim 1 was without any particular practical application, such as a program running on a computer and stored in a computer readable medium or memory and that the claimed invention is not supported by a credible asserted utility or well established utility.

As noted before, claim 1 has been canceled by this amendment. Newly presented claim 19, is directed to a method implemented as a computer-based system for recognizing events in video sequences. As such, the invention now claimed is statutory.

**Claim Rejections – 35 U.S.C. § 112**

Claim 1 was rejected by the Examiner under the provisions of 35 U.S.C. § 112, first paragraph as failing to comply with the enablement requirement. In addition, the Examiner rejected claims 12 and 18 under the provisions of 35 U.S.C. § 112, second paragraph as being indefinite.

With this amendment, claims 1, 12 and 18 have been canceled. The applicant submits that new claims now presented, fully comply with the provisions of 35 U.S.C. 112.

### **Claim Rejections – 35 U.S.C. § 102**

Claims 1, 7 and 13 were rejected under the provisions of 35 U.S.C. § 102 as being anticipated by United States Patent No. 3,647,978 that issued to Hill on March 7, 1972 for Speech Recognition Apparatus (hereinafter referred to as "Hill '978 patent").

Inasmuch as claims 1, 7, and 13 have been canceled by this Amendment, the rejection with respect to the canceled claims is now moot. However, in order to expedite the examination of the instant application with respect to this Amendment and the newly presented claims, discussions pertinent to these rejections will be presented along with those particular to the rejections under the provisions of 35 U.S.C. § 103, which follows.

### **Claim Rejections – 35 U.S.C. § 103**

Claims 2-6, 8-12, and 14-18 were rejected under the provisions of 35 U.S.C. § 103(a) as being unpatentable over the Hill '978 patent in view of United States Patent No. 6,021,403 issued to Horvitz on February 1, 2000 (hereinafter the Horvitz '403 patent) further in view of an article entitled "Grounding Language In Perception", authored by the inventor of the instant application that appeared in Artificial Intelligence Review, Volume 8, pp. 371-391, 1995 (hereinafter "Siskind").

With this Amendment, the applicants have canceled the rejected claims and presented in their place, a new set of claims. In view of these amendments

and the following discussion, the applicant submits that the new claims are allowable.

Before we discuss the cited art in detail however, it is useful to review the claimed invention of the present application.

Generally, the present invention is directed to a method for computing all occurrences of a compound event from occurrences of primitive events where the compound event is a defined combination of the primitive events. The method comprises the steps of: (a) defining primitive event types; (b) defining combinations of the primitive event types as a compound event type; (c) inputting the primitive event occurrences, such occurrences being specified as the set of temporal intervals over which a given primitive event type is true; and (d) computing the compound event occurrences, such occurrences being specified as the set of temporal intervals over which the compound event type is true, where the set of temporal intervals in steps (c) and (d) above, are specified as smaller sets of spanning intervals, each spanning interval representing a set of intervals.

As described and now claimed, the methods of the present invention are implemented in a system for recovering event occurrences from video input. It differs from prior art approaches in two significant ways. First, it employs state changes in force-dynamic relations between objects, instead of motion profile as a key descriptive element in defining event types; and Second, it employs event logic, instead of hidden Markov models, to perform event classification. Additionally, and as only now taught by the present invention, is the use of spanning intervals that efficiently represent the infinite sets of intervals that result when processing events. Consequently, the present invention results in an efficient procedure, based upon spanning intervals, for inferring all occurrences of compound event types from the occurrences of primitive event types.

In operation, the invention of the present application takes relatively short (30 to 120 frame) video sequences as input. These video sequences typically depict a person performing various actions with colored blocks, the actions comprising a set compound events such as pick up, put down, stack, unstack, move, assemble, and disassemble. These compound events are specified as event-logic expressions over primitive event types depicted in the video sequences, wherein the primitive event types include supported, rigidly attached, supports, contacts, and attached.

The intervals over the input video sequences during which specific primitive events hold, are computed and represented by spanning intervals as now taught by the present invention. The compound event types are then determined from the primitive event(s).

Turning our attention now to the cited prior art, and in particular the Hill '978 patent, there the patentee discloses a speech recognition apparatus that is responsive to selected acoustic characteristics for decomposing a signal representing an acoustic input into analog signals on parallel channels. The analog signals may then be transformed into binary signals on parallel channels which constitute time-ordered event markers. Sequential properties of the binary signals may be marked and nonordered array pattern binary information representing both content and order information relating to the acoustic input may then be stored.

And while the Examiner is certainly correct that certain, broad teachings of the Hill '987 patent are relevant to the claimed invention of the instant application, the Examiner is mistaken in his broad application of the teachings.

Specifically, the Hill '987 patent provides a system for determining and recording two varieties of time-dependent information found in speech, namely the duration of speech events and the order of events. It is explicitly taught by the Hill '987 patent that these two types of time information should be thought of differently and that the handling of duration information is best handled during acoustic analysis. As taught by the patentee, the output of acoustic analysis is a set of data lines that carry data as standard pulses, and each channel may be derived from a circuit responsive to some particular characteristic of speech, called a Primitive Acoustic Characteristic (PAC), determined from the acoustic analysis. The inclusion of threshold information identifies what is called Primitive Acoustic Features (PAF), occurring in the PAC's. Finally, the beginning and ending of a PAF are themselves events which are noted, since they are significant parts of a PAF, and such events are called Primitive Acoustic Events (PAE).

It is these PAE's to which input signals are reduced. Resolution of order is determined by the width of the pulse representing such events. Further processing of the information may produce additional aspects of the sequence of events in terms of structural descriptors called compound acoustic events (CAE's).

At this point it should be readily apparent that the PAE's are NOT the same as the primitive event types as taught and claimed in the instant application. More specifically, PAE's as taught by the Hill '978 patent identify the *beginning* and *end* of PAF's, thereby delineating them, while the primitive events in the instant application pertain to the relationships of objects contained within scenes depicted in a series of video sequences.

Consequently, the compound acoustic events (CAE's), which are defined in terms of CAE's or PAE's only – and therefore a series of PAF delineations,

ARE NOT the compound events in the instant application. More particularly, the compound events of the instant application are specified as event-logic expressions over the primitive event types, and result in events such as pick up, put down, stack, unstack, move, assemble, and disassemble.

Recall for a moment that the present invention uses spanning intervals - which were heretofore unknown in the art for problems such as this - for efficiently representing the infinite sets of intervals that arise when processing liquid and semi-liquid events.

As can be appreciated, when using event logic, it is desirable to compute and represent the set of all intervals over which some event-logic expression holds. Many primitive event types, including all of the primitive event types described in the instant application, are liquid in the sense that if an event holds for an interval then that event holds for every subinterval of that interval as well. With real-valued interval endpoints, this creates the need to compute and represent an infinite set of intervals for a liquid event, such as those in the instant application. Even when limited to integer-valued endpoints, a liquid event will require the computation and representation of quadratically many endpoints.

The applicant of the instant application addresses this problem in a novel manner through the use of spanning intervals, which represents the set of all subintervals in a computationally practical manner.

In rejecting the original claims however, the Examiner states that the Horvitz '403 patent teaches spanning intervals and when combined with the teachings of the Hill '978 patent, makes obvious the present invention. The Examiner is mistaken, as neither the Hill'978 patent nor the Siskind reference teach the use of spanning intervals as first taught by the applicant.

Specifically, and with reference to the Horvitz '403 patent, there is taught a system for providing assistance with user interfaces found in computing systems. In particular, the Horvitz '403 patent teaches an event composing and monitoring system that allows high-level events to be created from combinations of low-level events. And while it too does teach several aspects relevant to the present invention, it DOES NOT teach the use of spanning intervals, as first taught and employed by the applicants of the instant application.

In particular, the Examiner cites column 13, lines 33-60 as providing a disclosure of spanning intervals. Yet a careful review of that reference ONLY reveals an exemplary record format for modeled events in a modeled event database. More particularly, it indicates a number of record fields and their data type, it does not, either explicitly or implicitly, teach or describe a spanning interval which represents a set of all subintervals.

Still further, it does not teach or suggest the use of a spanning interval to represent the set of all intervals over which a primitive event type holds and to compute and represent the set of all intervals over which compound event types hold.

As the Examiner can readily appreciate, since the prior art does not teach or suggest all of the limitations of the claimed invention, it cannot anticipate or make obvious that claimed invention.

Accordingly, the applicant submits that independent claim 19 is patentable. Inasmuch as the dependent claims each recites further distinguishing aspects of the present invention, the applicant submits that each of the dependent claims is non-obvious as well.



**Conclusions:**

The applicants submit that all of the claims in their present form are allowable. Accordingly, both reconsideration of this application and its swift passage to issue are earnestly solicited.

Respectfully submitted,  
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By 

Jeffery J. Brosemer

Reg. No. 36,096

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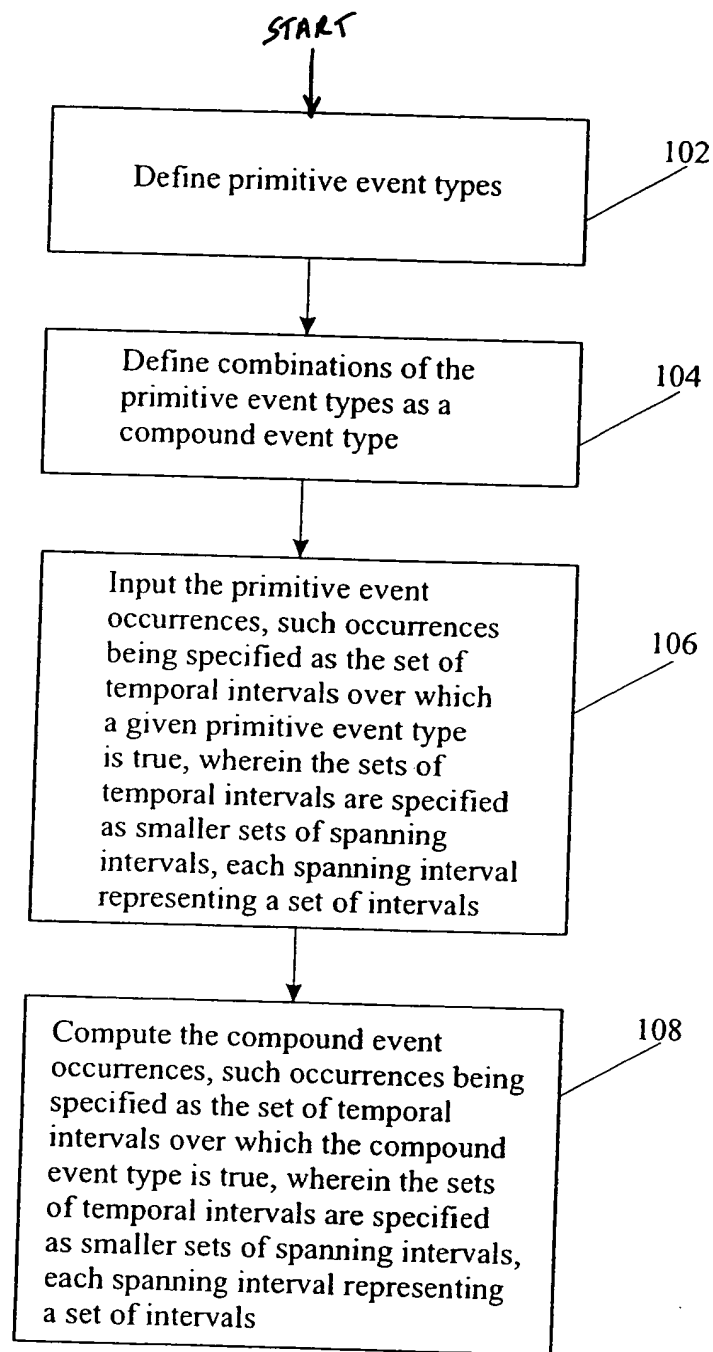


FIG. 1

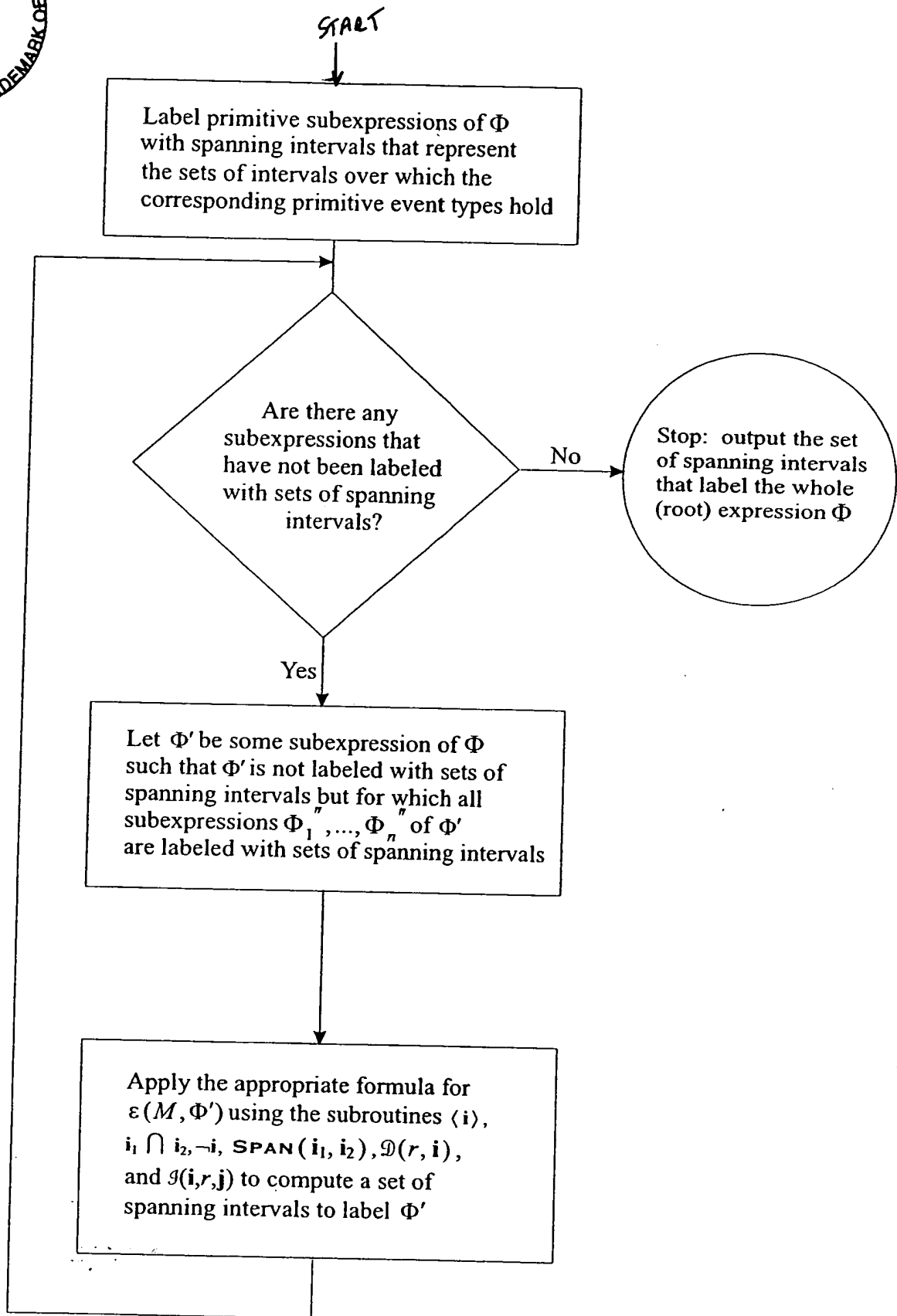
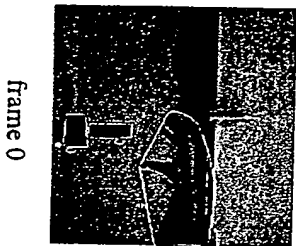
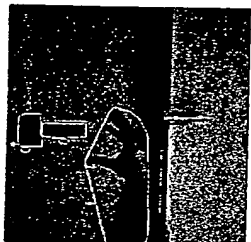


FIG. 2



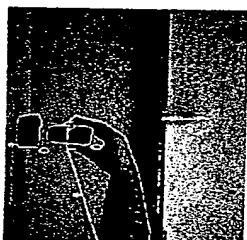
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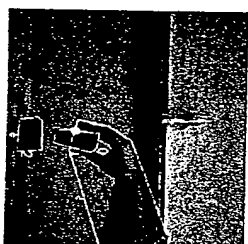
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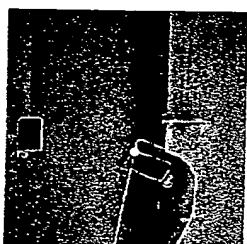
frame 2



frame 13

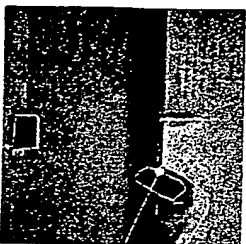


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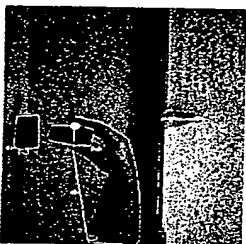


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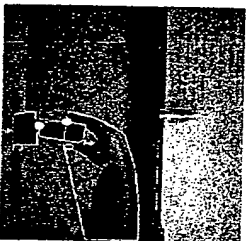
FIG. 5A



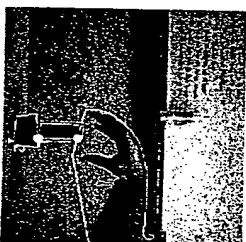
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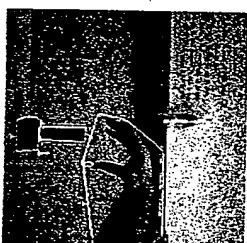
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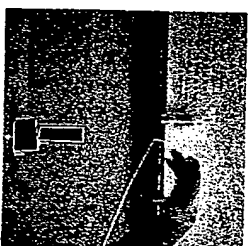
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frame 22



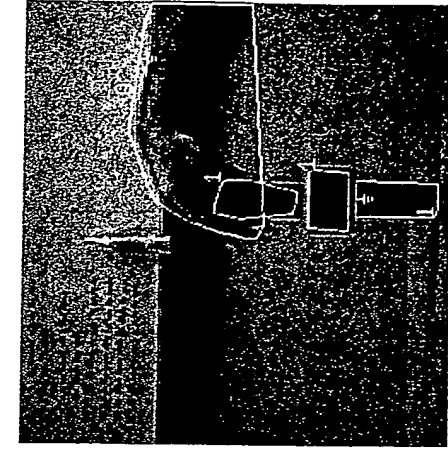
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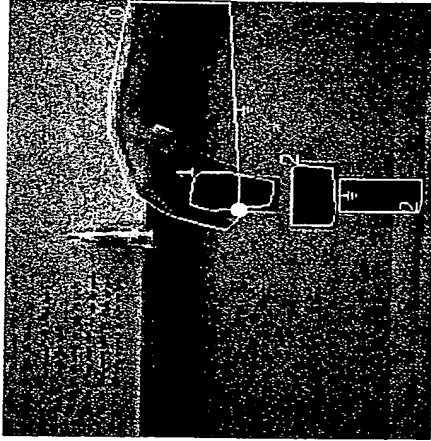
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FIG. 5B

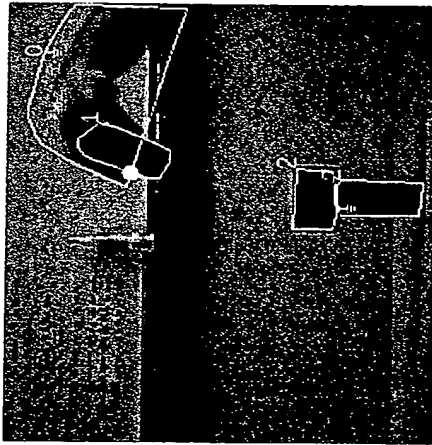




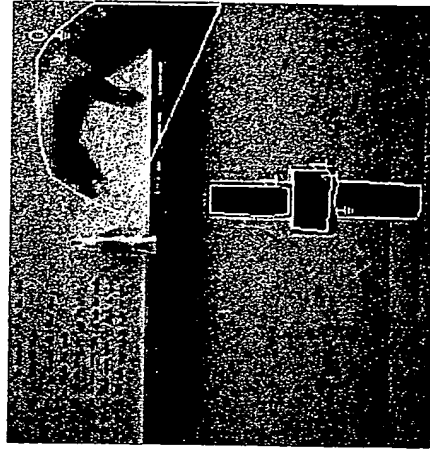
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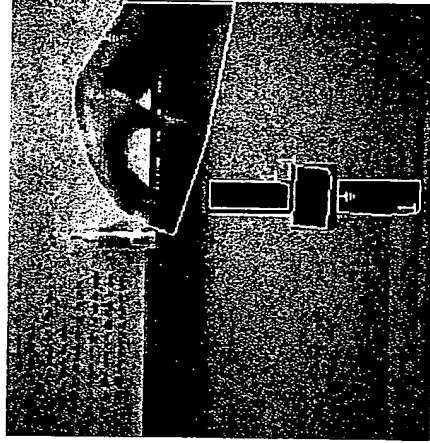
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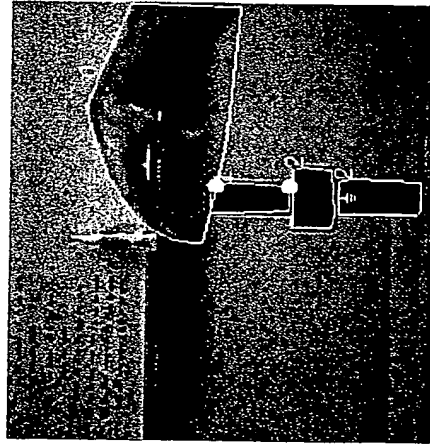
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FRAME 10



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FRAME 26

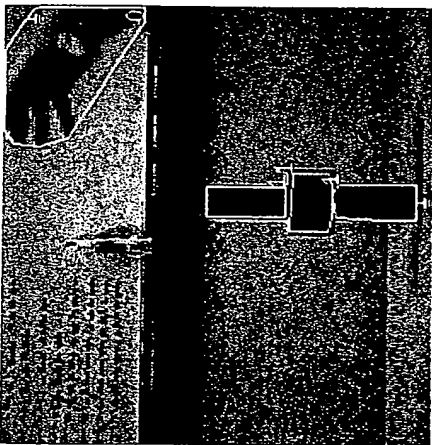
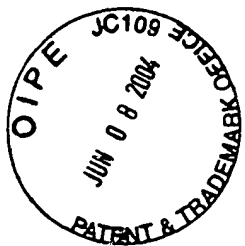


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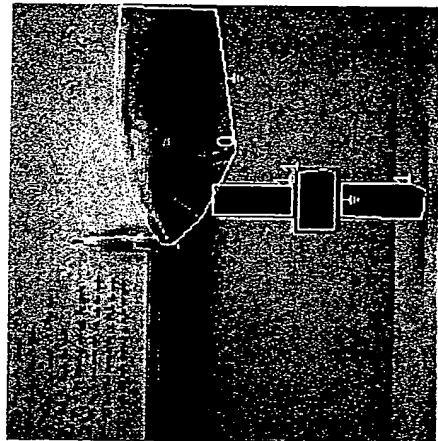


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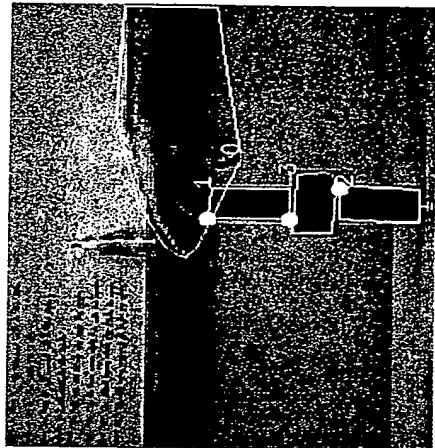
FIG. 7A



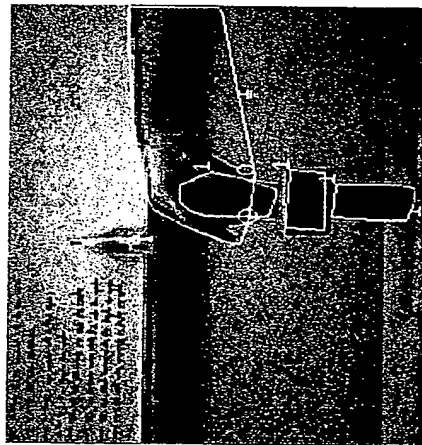
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FRAME 1



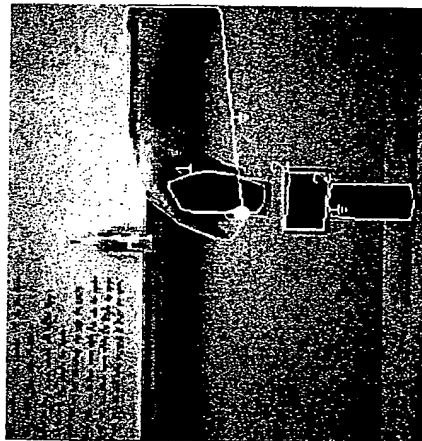
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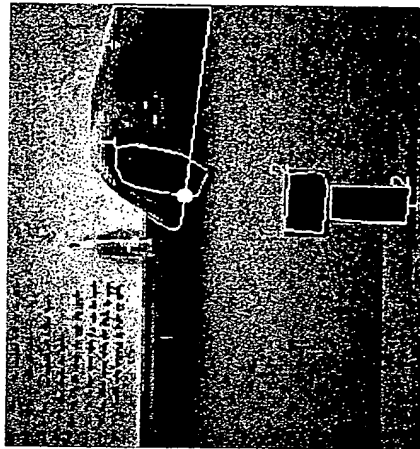
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frame 24

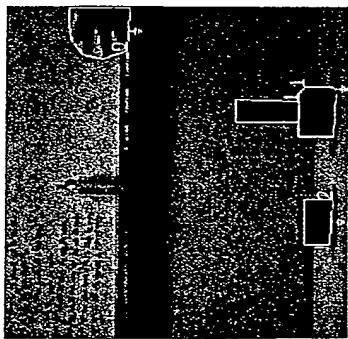
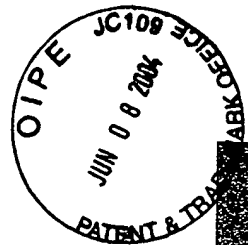


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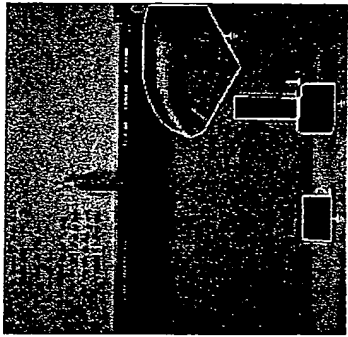


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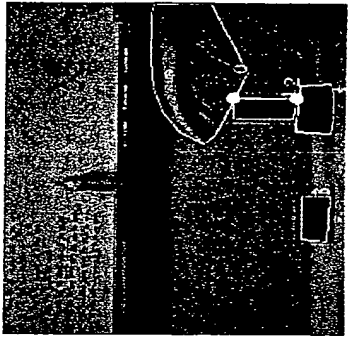
FIG. 7B



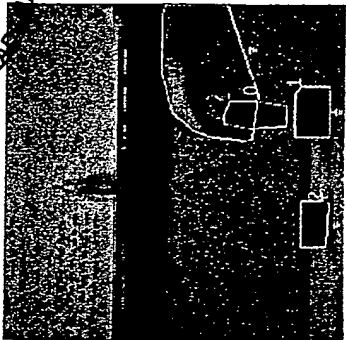
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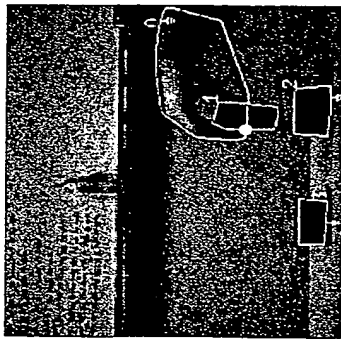
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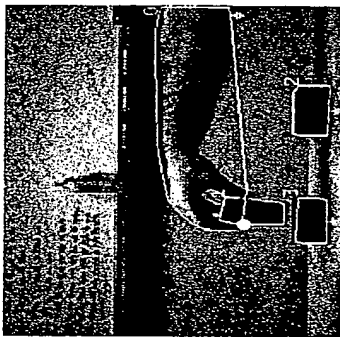
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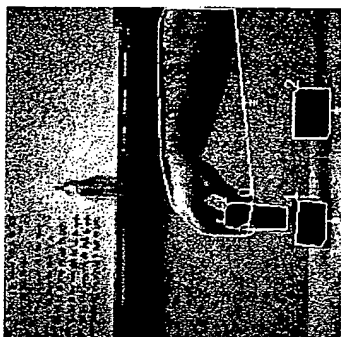
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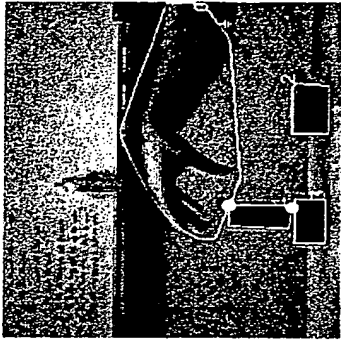
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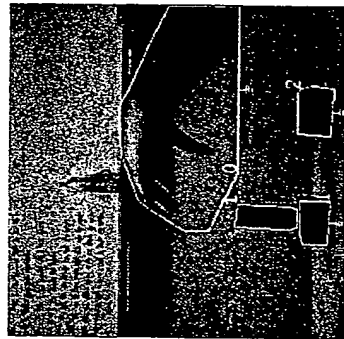
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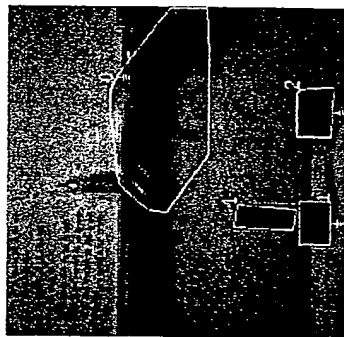
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frame 45



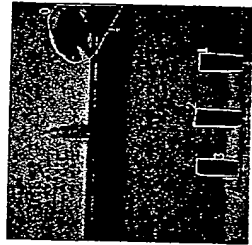
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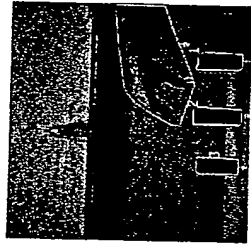
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FIG. 7C

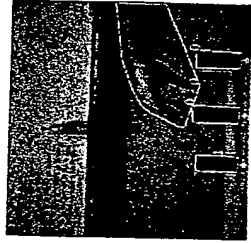
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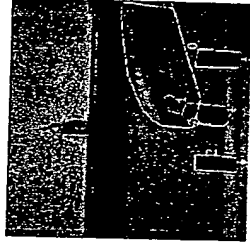
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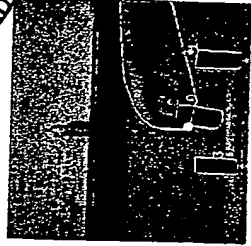
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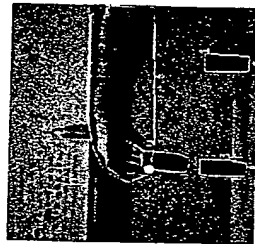
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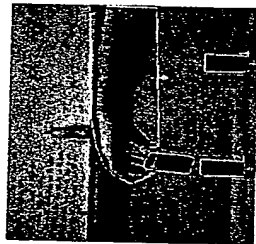
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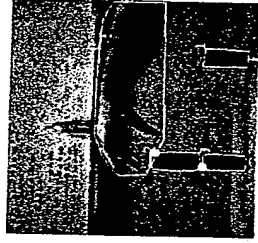
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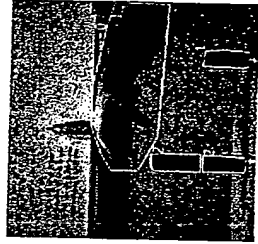
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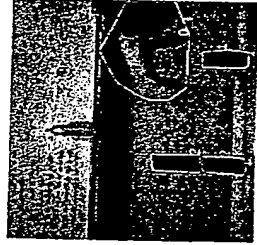
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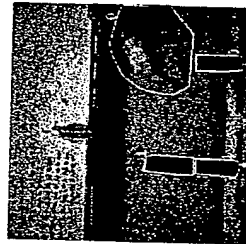
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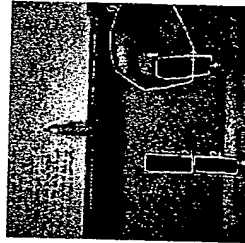
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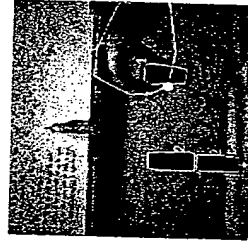
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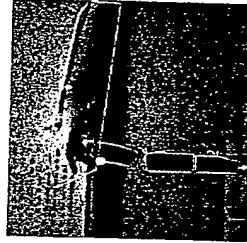
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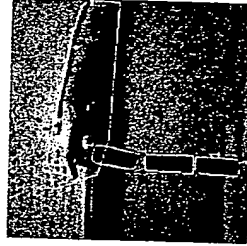
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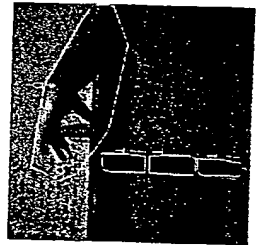
Frame 57



frame 67



frame 68



frame 87

FIG. 7D